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# Management of critical illness with non-invasive ventilation by an Australian HEMS

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## ABSTRACT

**Background** Non-invasive ventilation (NIV) therapy is widely used for the management of acute respiratory failure. The objective of this study was to investigate the current use of NIV during interhospital retrievals in an Australian physician-led aeromedical service.

**Methods** We reviewed patients receiving NIV during interhospital retrieval at the Greater Sydney Area Helicopter Medical Services (GSA-HEMS) over a 14-month period. The main objectives were to describe the number of retrievals using NIV, the need for intubation in NIV patients and the effect of the therapy on mission duration.

**Results** Over the study period, 3018 missions were reported; 106 cases (3.51%) involved administration of NIV therapy during the retrieval. The most common indication for NIV was pneumonia (34.0%). 86/106 patients received a successful trial of NIV therapy prior to interhospital transfer. 58 patients were transferred on NIV, while 28 patients had NIV removed during transport. None of these 86 patients required intubation or died, although 17/86 ultimately required intubation within 24 hours at the receiving centre. 20/106 patients required intubation at the referring hospital after a failed trial of NIV therapy. NIV was successfully used in all available transport platforms including rotary wing. Patients receiving NIV were found to have prolonged mission durations compared with other GSA-HEMS patients (222.5 vs 193 min). This increase in mission duration was largely attributable to NIV failure, resulting in a need for Rapid Sequence Intubation at the referring hospital.

**Conclusions** With careful patient selection, the use of interhospital NIV is feasible and appears to be safe in a retrieval system with care provided by a critical care physician.

## BACKGROUND

Non-invasive positive pressure ventilation (NIV) is a widely used therapy in the management of acute respiratory emergencies including acute hypoxic and hypercapnoeic respiratory failure.<sup>1</sup> NIV is also an established treatment of congestive heart failure (CHF), pneumonia and chronic obstructive pulmonary disease (COPD).<sup>2,3</sup>

The use of NIV in the prehospital environment has been associated with improvement in vital signs and a reduced need for endotracheal intubation.<sup>4,5</sup> Recent systematic reviews of the literature have supported the use of NIV in the prehospital setting.<sup>6,7</sup> Out-of-hospital administration of NIV appears to be a promising therapy for respiratory distress with short transport times.<sup>8</sup> However, there is limited reporting beyond studies of paediatric populations

## Key messages

### What is already known on this subject?

- ▶ Non-invasive ventilation (NIV) is an established evidence-based therapy in the management of acute respiratory failure in the hospital setting.
- ▶ There is an emerging evidence base for the use of NIV in the urban prehospital setting.
- ▶ To date, there has been minimal reporting of the use of NIV in critically unwell patients requiring interhospital transfer.

### What might this study add?

- ▶ In this Australian observational study of interhospital NIV, none of the patients required intubation during transport or died during the interhospital retrieval. Seventeen patients required intubation and mechanical ventilation at the receiving hospital within 24 hours.
- ▶ NIV appears to be a safe management option in a select number of patients requiring interhospital transfer.
- ▶ Judicious patient selection and senior physician supervision are important contributors to patient safety when using NIV for interhospital transfer.

of NIV use in patients requiring longer interhospital transfers.<sup>9,10</sup> A recent case series has suggested that adult interhospital NIV may be associated with a risk of patient deterioration.<sup>11</sup> Studies in other retrieval services with larger populations are therefore necessary.<sup>12</sup>

The overall aim of this study was to investigate the current use of NIV in critically unwell adults undergoing retrieval by an Australian physician-led aeromedical service.<sup>12</sup> Greater Sydney Area Helicopter Medical Services (GSA-HEMS) provides prehospital and interhospital missions to a population of >7 million in an area >800 000 km<sup>2</sup>.<sup>13</sup> The service operates across three bases and uses all retrieval platforms (road, rotary and fixed wing). The medical team is completed by flight nurses for fixed wing and paramedics for road or rotary wing missions.

## MATERIALS AND METHODS

### Study interventions

GSA-HEMS patients in whom NIV is considered appropriate are given a trial of NIV prior to interhospital transfer. A successful trial of NIV is determined by the gestalt of the treating clinician based on various parameters including clinical observations, ABGs and treatment tolerance. NIV is



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performed using the Oxylog 3000+ transport ventilator (Dräger, Germany).<sup>14</sup> Manufacturer's guidelines exist for providing NIV using the Oxylog 3000+ and are followed by the retrieval teams. Bilevel positive airway pressure (BiPAP) and continuous positive airway pressure (CPAP) modes are both available at the discretion of the treating physician. Titration of NIV is carried out by adjustment to the standard ventilation parameters. Oxygen availability in all transport platforms (ie, road, fixed wing and rotary wing) includes two standard 'D' cylinders with a capacity of approximately 3200 L. NIV is provided using an appropriately sized mask with head straps.

### Patient selection

Study protocols were examined and approved by the Western Sydney local health district (WSLHD) ethics committee. The GSA-HEMS electronic medical record (EMR) was searched for patients transported between 1 March 2012 and 30 April 2013. We identified patients receiving NIV from the 'tick box' charting option indicating NIV use and an electronic search for the terms: 'CPAP', 'NIV' and 'BiPAP'. All extracted cases were examined according to predefined inclusion and exclusion criteria.<sup>15</sup> Criteria for inclusion in the study were definite evidence in the EMR of NIV use by the retrieval team. The included patients were divided into three groups. Group 1 were transported on NIV therapy. Group 2 were given NIV by the retrieval team but required intubation prior to transport. Group 3 initially received NIV at the referring institution but not during transport. Exclusion criteria included patients who died or received palliative care prior retrieval team arrival, intubation required prior to mission tasking, paediatric age (defined as <16 years) and cases with no evidence of NIV use. A second reviewer checked all the cases to ensure inclusions, exclusions and groupings were appropriate and found no errors.

A single investigator examined the EMR and data were collected using an extraction sheet approved by the WSLHD research committee.<sup>15</sup> Data entry and accession numbers were then crosschecked for errors by a second independent reviewer. Standardised 24-hour postmission phone calls were made to receiving hospitals for all transported patients during the study period. Trained GSA-HEMS staff carried out these calls and added the data to the EMR. Medical records and data from telephone follow-up were complete for all patients in the study and no cases were lost to follow-up.

### Outcomes of interest

The main objectives of this study were to describe the number of retrievals using NIV, the need for intubation in NIV patients and the effect of the therapy on mission duration. Descriptive statistics (means, medians, percentages) were used to examine the characteristics of patients receiving NIV. Descriptive data collected included the level of experience of the physician, patient demographics, logistics, mission timings, as well as clinical variables including vital signs. We determined the median mission timings for patients who received NIV based on the three groups described above. These mission timings were compared with the timings of all other missions carried out by the GSA-HEMS service.

Mission timings for the retrieval service were defined as follows:

- ▶ Total mission time: departure from base until return to base.
- ▶ Transit time: departure from referring hospital to arrival at the receiving hospital.
- ▶ Treatment time: total patient contact time at the referring centre (ie, arrival at patient to departure with patient).

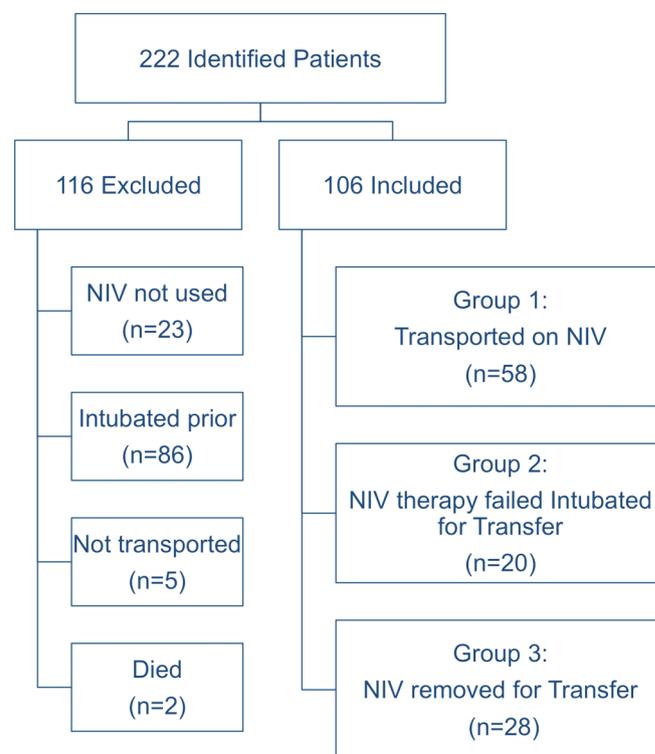
Mission timing data were statistically evaluated using Stata V.11 (Stata, USA). The Wilcoxon ranked-sum test was used comparative analyses. The hypothesis tested was that the use of NIV would significantly increase the mission duration. Comparative statistical analysis was not performed on other results due to the high likelihood of confounders.

### RESULTS

From a total of 3018 missions carried out over the 14-month period, 106 patients were administered NIV by the retrieval service (figure 1). Of the 106 patients, 28 were administered NIV but had it removed for transport; 20 failed a trial of NIV therapy and were intubated and 58 were transported using NIV. In the 86 patients where a trial of NIV was used successfully, 0/86 required intubation during transport. No patient that received NIV from the retrieval teams died in transit or within the 24-hour follow-up period.

Median age of the patients was 63, with a slight predominance of males. Vital signs were recorded in all patients and reflected the characteristic critical care needs of the GSA-HEMS population (table 1). The most common provisional diagnosis (table 2) was pneumonia (34.0%). Patients with cardiogenic shock (CS) and CHF suffered lower rates of failed NIV during retrieval (4/25) but had the highest rate of intubation within 24 hours at the receiving centre (8/21 intubated by 24 hours). 19.8% (17/86) of the non-intubated patients ultimately required intubation within 24 hours at the receiving hospital.

Subsequent examination of the individual records of the 17 patients intubated within 24 hours showed 4/17 patients had septic shock with end-organ dysfunction. In these cases, intubation at the receiving centre was required due to a predictable clinical course. Also, 8/17 patients had a clinical picture consistent with CS. This picture was associated with ischaemic



**Figure 1** Flow diagram: included and excluded cases. NIV, non-invasive ventilation.

**Table 1** Overview of patients receiving non-invasive ventilation (NIV)

Characteristic	All retrieval NIV patients (n=106)	NIV for transport (n=58)	Failed a trial of NIV (n=20)	NIV removed for transport (n=28)
Median age	63 (14–85)	62 (14–85)	62.5 (20–83)	64 (18–82)
Doctor grade—consultant	34	19	3	12
Doctor grade—registrar	72	39	17	16
Female gender	47	23	10	14
Male gender	59	35	10	14
Median O <sub>2</sub> saturations (start)	97 (61–100)	96 (61–100)	96 (84–100)	97 (80–100)
Median O <sub>2</sub> saturations (end)	98 (83–100)	98 (83–100)	98 (90–100)	97 (84–100)
Median systolic BP (start)	130 (60–270)	135 (80–270)	135 (80–195)	113 (60–190)
Median systolic BP (end)	126 (95–200)	129.5 (98–200)	130 (100–180)	117 (95–150)
Median RR (start)	26 (12–45)	25.5 (12–42)	26.5 (13–45)	25.5 (18–39)
Median RR (end)	22 (12–38)	24.5 (12–38)	15 (12–28)	25 (14–35)
Median pulse rate (start)	95 (36–150)	91 (36–140)	110.5 (66–150)	92.5 (50–140)
Median pulse rate (end)	94 (50–150)	90 (51–140)	101 (58–135)	90 (50–140)
Inotropic support required at 24 hours	13 (12.3%)	6 (10.3%)	2 (10%)	5 (17.9%)
Death in mission or at 24 hours	0 (0%)	0 (0%)	0 (0%)	0 (0%)
ECMO or IABP at 24 hours	2 (1.89%)	1 (1.72%)	1 (5%)	0 (0%)
Intubation required at 24 hours	17 (19.8%)	12 (20.7%)	N/A	5 (17.8%)

cardiomyopathy or the valvular disease associated with rheumatic fever (common in Australian indigenous communities). With hindsight, a portion of these patients had a predictable need for invasive ventilation. However, three of the cases were not intubated due to the improvement in vital signs associated with NIV and a perceived high risk of intubation for CS in a remote setting. Also, 5/17 patients had hypoxic respiratory failure associated with COPD exacerbation. In these cases, intubation was avoided due to the risk of ventilator-associated pneumonia and likelihood of prolonged mechanical ventilation in the receiving centre after intubation.

Consultants used NIV in 34/1105 (3.1%) of overall missions compared with 72/1908 (3.8%) by registrars (doctors in training). Lower rates of therapy failure were observed in the presence of a consultant (3/34 vs 17/72).

Transport platforms used included fixed wing, rotary wing, road ambulance and the bariatric 'multipurpose vehicle' (MPV). As a proportion of all missions, NIV use was reported in 59/1189 (4.96%) of road, 30/1512 (1.98%) of rotary wing, 11/278 (3.96%) of fixed wing and 7/39 (17.95%) of MPV (bariatric) transfers.

Overall mission duration was longer in patients receiving NIV, although time in transit was similar (table 3). Compared with patients who received a successful trial of NIV, patients who required intubation after a failed trial of therapy had significantly longer treatment times and overall duration of mission but a similar time in transit (table 4).

## DISCUSSION

The use of NIV in this retrieval service reflects the diverse clinical and geographical requirements of the Australian population. NIV cases account for >3% of the overall GSA-HEMS service workload. Patients in this study were found to have received NIV at various points including for stabilisation at the referring hospital, prior to a rapid sequence intubation (RSI) and for ongoing therapy during transport.

Within the limitations of this observational study, the current use of NIV appears to be safe in the interhospital setting. No patients required intubation in transit (0/86) and there were no deaths during transfer or within 24 hours. The patients transported using NIV had a high level of critical care requirements and case diversity in terms of diagnosis and geographical location.

Our study appears to conflict with evidence from a previous study that suggests a risk of deterioration in transit with interhospital NIV. An Australian case series looking at interhospital NIV reported 3/29 patients had cardiorespiratory collapse during transport.<sup>10</sup> In contrast, the evidence from this study suggests NIV can be used successfully on road, fixed wing and rotary wing platforms. The anecdotal experience of our retrieval physicians suggests a high degree of vigilance and an awareness of the limitations of NIV therapy are necessary for success. Maintaining patient safety also depends on a supportive governance structure (ie, consultation with experienced on-call specialists) and judicious patient selection.<sup>16</sup> Extensive training

**Table 2** Diagnosis of patients and need for intubation (n=106)

Variable	Asthma	Cardiogenic	Sepsis	COPD	Pneumonia	Other respiratory	Total
All NIV cases (n)	5	29	1	28	36	7	106
Failed NIV cases (n)	2	4	0	7	7	0	20
Transported cases (n)	3	25	1	21	29	7	86
Intubated by 24 hours (n)	0	8	1	2	6	0	17

COPD, chronic obstructive pulmonary disease; NIV, non-invasive ventilation.

**Table 3** Mission timings

Timings (min) Median (IQR)	All NIV cases (n=106)	All other missions (n=2912)
Total mission time	225.5 (160–324)	193 (127–256)
Treatment time	50 (30–87)	40 (21–53)
Transit time	39.5 (30–62)	40 (20–54)

NIV, non-invasive ventilation.

**Table 4** Mission timings of non-invasive ventilation (NIV) patients requiring intubation

Timings (min)	Not intubated during retrieval (n=86)	Intubated at referring centre (n=20)	p Value (Wilcoxon ranked-sum)
Total mission time	205	298	0.002
Treatment time	43	100	0.0001
Transit time	35	47.5	0.06

including in situ simulation and crew resource management exercises may also contribute to the successful use of NIV.

The durations of retrieval missions reported in this study (table 3) may reflect both geographical distance and case complexity. In addition, it appears that failure of NIV therapy is associated with increased mission and treatment times (table 4). The increased mission durations observed with NIV therapy could be attributed to the practice of ‘trailing NIV’ at the referring centre. This trial is carried out using the retrieval service ventilator rather than the local hospital device. The retrieval team then assesses the patient for comfort, fatigue and work of breathing prior to transport. The practice of selecting interventions in the context of individual needs and logistical concerns is supported by the wider prehospital literature.<sup>17</sup>

While no patients deteriorated during transport to the point of requiring intubation, there are important limitations to consider when using interhospital NIV. While RSI is possible in-flight,<sup>18</sup> it is avoided where possible due to logistical difficulties and the lower success rates reported.<sup>19</sup> The current selective use of interhospital NIV reflects a careful balance of the benefits of the therapy versus the risk of deterioration during transport. From this study and wider anecdotal experience, we have identified four major factors to consider when using NIV. These four factors are equipment factors, aviation considerations, patient factors and oxygen consumption:

- ▶ **Patient factors**—Patient factors such as comorbidities, mask fit, air travel anxiety and motion sickness should all be considered.<sup>20–21</sup> Successful NIV use is dependent on a trial of tolerability and patient cooperation. Careful patient selection is essential in order to avoid adverse outcomes.<sup>10</sup> Selection should be based on local protocols and senior advice.
- ▶ **Equipment factors**—Not all transport ventilators provide adequate and effective NIV. The patient may have to work harder to trigger an assisted breath than with devices designed to provide NIV as their primary function.
- ▶ **Oxygen consumption**—Oxygen flow can be in the range of 9–35 L/min with the Oxylog 3000+.<sup>14</sup> Operation time can be estimated (medical gas supply (L)/MV+0.5 (L/min)). Mask air leak can cause increased flow and therefore decreased operation time. A recent small case series of NIV transport showed a mean oxygen consumption of 232.2 L.<sup>10</sup>

- ▶ **Aviation considerations**—Aviation factors such as transport distance, vehicle space, weight restrictions and patient access should always be considered. In this study, six patients were transported by rotary wing with ongoing NIV without complication.

Adequate mission planning addressing the four factors listed above are likely to make selective use of NIV feasible in many jurisdictions. Common indications observed for interhospital NIV appear to be similar to those described in the literature for ED patients.<sup>22</sup> Furthermore, many of the factors associated with NIV failure in this interhospital study appeared to be similar to those encountered in the hospital setting.<sup>23</sup>

## STUDY LIMITATIONS

The study reports on a limited number of patients in a single retrieval service, so caution must be used in extrapolating the results to other locations. The outcome data, while complete and appropriate for the study question, were limited to short-term follow-up. Additionally, there are potential intrinsic methodological issues associated with retrospective data collection and analysis.<sup>15</sup> The extraction of data was performed by a single investigator who was not blinded to the intent of the study. There is potential for observer and measurement biases. However, no errors were found when a second independent reviewer checked appropriate data entry, inclusions and patient groupings.

## FUTURE DIRECTIONS

The cohort described in this study had a high level of critical care needs including vasopressors and intubation within 24 hours of arrival to the receiving facility. Optimising the selection of patients suitable for NIV therapy based on clinical criteria is a potential area for further investigation. While not powered for statistical analysis, this study suggests an apparent trend of CHF patients tolerating NIV well during transport but being more likely to require intubation and inotropes at the receiving centre. This finding could be an area for further study. ABG measurements were often taken in NIV patients but serial results were not available for the majority of cases. Given the importance of ABGs in decision-making around NIV therapy, this is also an important consideration for future interhospital NIV studies.

## CONCLUSIONS

This study suggests NIV is a useful treatment in a significant proportion of critically unwell patients requiring intrahospital transfer. The immediate benefits (eg, haemodynamic and respiratory) and latent benefits (eg, reduced intensive care stay and ventilator-associated pneumonia) should be weighed against the risk of deterioration during transport. When there is uncertainty about the risk of transfer, a trial of NIV therapy in the referring hospital and consultation with experienced colleagues should be undertaken. We conclude that modern integrated aeromedical services should consider the development of local operating procedures for the use of interhospital NIV.

**Correction notice** Since this paper was first published online table 3 has been modified. The transit time data in the ‘All NIV cases (n=106)’ column has been updated. It now reads 39.5 (30–62).

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**Contributors** ARC and BB conceived the study. ARC extracted data from the electronic medical record, which was crosschecked by ENC and BB. BB and ARC performed the data analysis. All authors contributed to and have approved the final manuscript.

**Competing interests** None declared.

**Ethics approval** Westmead Hospital Ethics Committee.

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**Data sharing statement** Data are provided in full in the results section of this paper. Non-digital materials supporting this study are stored securely by the corresponding author at the University of Sydney. Data are available from AC upon request.

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