Calculating the proportion of avoidable attendances at UK emergency departments: analysis of the Royal College of Emergency Medicine’s Sentinel Site Survey data

Toby Morris,1 Suzanne M Mason,2 Chris Moulton,3 Colin O’Keeffe2

ABSTRACT

Introduction Avoidable attendances (AAs; defined as non-urgent, self-referred patients who could be managed more effectively and efficiently by other services) have been identified as a contributor to ED crowding. Internationally, AAs have been estimated to constitute 10%–90% of ED attendances, with the UK 2013 Urgent and Emergency Care Review suggesting a figure of 40%.

Methods This pilot study used data from the Royal College of Emergency Medicine’s Sentinel Site Survey to estimate the proportion of AAs in 12 EDs across England on a standard day (20 March 2014). AAs were defined by an expert panel using questions from the survey. All patients attending the EDs were recorded with details of investigations and treatments received, and the proportion of patients meeting criteria for AA was calculated.

Results Visits for 3044 patients were included. Based on these criteria, a mean of 19.4% (95% CI 18.0% to 20.8%) of attendances could be deemed avoidable. The lowest proportion of AAs reported was 10.7%, while the highest was 44.3%. Younger age was a significant predictor of AA with mean age of 38.6 years for all patients attending compared with 24.6 years for patients attending avoidably (p<0.001).

Discussion The proportion of AAs in this study was lower than many estimates in the literature, including that reported by the 2013 Urgent and Emergency Care Review. This suggests the ED is the most appropriate healthcare setting for many patients due to comprehensive investigations, treatments and capability for urgent referrals. The proportion of AAs is dependent on the defining criteria used, highlighting the need for a standardised, universal definition of an appropriate/avoidable ED attendance. This is essential to understanding how AAs contribute to the overall issue of crowding.

INTRODUCTION

The challenges faced by EDs around the world are constantly debated in the political and medical environments. One such challenge is that the number of patients presenting to the ED has the potential to outweigh the limited resources, staff hours and space within the department, leading to crowding, exit block and decreased performance against targets such as the UK 4-hour standard for time spent in the ED. A growing international body of literature documents the adverse effects of crowding in the ED, including, but not limited to: increased patient mortality and adverse health outcomes, increased numbers of patients leaving without being seen and increased patient perceptions of compromised care.1–4 Data published in 2016 by the Nuffield Trust and The Health Foundation show the lowest performance on record against the UK 4-hour operational standard in the fourth quarter of 2015/2016 (81.81%), indicating EDs are struggling more than ever under the weight of increased demand and finite resources.1

One factor that may be increasing pressure on the ED is patients using its services unnecessarily or the so-called avoidable attendances (AAs). These
attendances, also referred to as ‘preventable’, ‘unnecessary’ or ‘inappropriate’, are said to have a number of undesirable effects on urgent and emergency care in EDs, including increased waiting times, delays in treatment for other patients, impaired access and significant financial implications for commissioners, service providers and patients themselves. However, the overall significance of AAs is disputed, with studies by other authors stating that AAs contribute minimally to crowding and the associated negative effects.

There is no standardised definition of an AA; however, an avoidable attendee is typically described as a self-referred, low emergency patient who could be managed more effectively and efficiently by other healthcare service providers. Systematic reviews on the subject of AAs have reported prevalence ranging from 10% to 90%, the large variation possibly due to the lack of a single, standardised definition of ‘avoidability’. Documented methods of determining AAs include subjective clinical opinion, pre-existing triage scores and independently developed clinical criteria. Results vary greatly, even when similar methods are applied. Dinh et al report a difference of 14% in the number ‘GP patients in the ED’ when applying the Australian Institute of Health and Welfare definition versus the Australian College of Emergency Medicine definition, despite both being based on predetermined triage scores.

Understanding what proportion of patients are attending the ED avoidably and which patients are likely to do so, is essential to further understanding whether AAs significantly contribute to the overall issue of crowding. In the UK, reports such as NHS England’s 2013 Urgent and Emergency Care Review have strongly implied that 40% of attendances at EDs are avoidable (though exact methodology is not explained) and that redirecting these patients to other services, such as primary care, walk-in centres and minor injuries units, would help to alleviate the problem of crowding.

The primary aim of the Sentinel Site Survey (SSS), conducted by the UK Royal College of Emergency Medicine (RCEM), was to determine the proportion of patients attending a sample of EDs across England that could be deemed avoidable, that is, patients who could be treated adequately in a less urgent setting such as tertiary acute care services or primary care. This study was designed as a small-scale pilot to test methodology of data collection and analysis, prior to conducting similar larger scale studies in the future.

METHODS

The SSS was undertaken by the RCEM on Thursday, 20 March 2014 with the aim of capturing a standard day in the participating EDs. Information on method of arrival, treatments/investigations received, age of patients and suitability to be seen by a GP was collected, as well as information on staffing levels in departments, which was not included in this study. The SSS provided a source of data, independent to NHS England, that when analysed could help to determine the proportion of patients attending the ED who could be treated in a less urgent setting and thus the overall significance of AAs in EDs.

A convenience sample of 12 EDs from across England took part in the survey on a voluntary basis, varying in size, location and case mix. A selection of type 1 departments in England, defined as major departments providing consultant-led 24-hour services with full resuscitation facilities, were invited to participate by a panel of expert clinicians from the RCEM. Departments who responded within a designated time frame were included. All departments who responded were included in the study, and instructions on how data were to be collected were sent to a lead clinician in each department who acted as a point of contact. The final sample included major trauma centres, teaching hospitals and district general hospitals. Further details on departments involved is included in online supplementary appendix 1.

Senior consultants within the EDs were instructed to complete the questions included in the survey for all patients attending the ED between 00.00 and 23.59 hours on the date in question, with the exception of patients who left before being seen (recorded in a separate part of the survey). In order to complete the data collection, consultants were required to analyse individual patient records and to enter data retrospectively into the survey. Any patient-identifying details were omitted in the interests of confidentiality. Selection bias was minimised in this study by the wide variation in the size and location of EDs participating.

The SSS was developed with the aim of collecting a minimum dataset of information on each patient attending and consisted of 12 questions for each patient. Questions were developed by an expert group of medicine consultants convened through the RCEM defining avoidability based on processes of care, a method previously shown to be more accurate than triage or diagnostic codes by a previous study on AAs. The questions are shown in box 1.

Answering ‘yes’ to one or more of the highlighted questions meant the attendance was deemed appropriate. The criteria were selected as it was felt that they could not be administered or carried out rapidly in most primary care settings. In the case of ‘arrival by ambulance’, it was considered that although patients may be using the ambulance service unnecessarily, once in an ambulance, a patient will almost invariably be transported to an ED. Receiving a prescription, in the absence of other investigations or treatments, was felt not to be an adequate reason to be seen in the ED. Questions 11 and 12 were not included in the analysis; this is discussed further in limitations.

<table>
<thead>
<tr>
<th>Box 1 Questions included in the Sentinel Site Survey</th>
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<tbody>
<tr>
<td>1. Age of patient</td>
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<tr>
<td>2. Did the patient arrive by ambulance?*</td>
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<tr>
<td>3. Was an ECG done?*</td>
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<td>4. Were any blood tests taken? (not stick for glucose)*</td>
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<td>5. Were any X-rays or other diagnostic imaging done?*</td>
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<td>6. Were any parenteral drugs/fluids or immunisations administered?*</td>
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<td>7. Was any physical treatment administered?*</td>
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<td>8. Were any prescriptions issued?</td>
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<tr>
<td>9. Was patient admitted to hospital or other facility for an overnight stay?*</td>
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<td>10. Was the patient referred urgently to a clinic or other outpatient facility?*</td>
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<tr>
<td>11. In your opinion, could this patient have been dealt with by a general practitioner (GP) working in your ED?</td>
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<tr>
<td>12. If answer to question 11 =yes, please answer question 12</td>
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<tr>
<td>13. If you had the ability at triage to book this patient an appointment with a GP within the next 24 hours, using only the information available at triage would this have been both safe and clinically appropriate?</td>
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*Questions highlighted with an asterisk were used to determine appropriate/avoidable attendance.
Outcome
The primary outcome was the proportion of visits that met criteria for an avoidable visit according to one or more of the eight criteria. The secondary outcome measured was the possible association of age with AAs, in order to determine whether potential interventions to reduce AAs would be best aimed at specific age groups.

Analysis
Data were initially entered by each participating ED into a Microsoft Excel spreadsheet. The spreadsheets from each department were then collated into one document and imported into IBM SPSS V22 9.5.0.0 for analysis. Data cleaning was performed to identify and remove patient records with missing data. If enough data were present in an entry to determine whether the attendance was avoidable or appropriate, the entry was kept within the dataset, and if not, it was removed.

Patient records were then computed into binary data with each entry receiving a score of 1 if an ‘appropriate’ variable was present, and conversely a score of 0 if no ‘appropriate’ variables were present. This allowed the calculation of the proportion of patients felt to be attending appropriately. Sample size calculations (using a predicted value of 40% from the 2013 Urgent and Emergency Care Review14) showed that a sample size of greater than 369 patient episodes would be needed to ensure confidence intervals of ±0.05.18

RESULTS
Three thousand and fifty-eight (3058) patients were included in the survey. Patients with incomplete data to the extent where the attendance could not be identified as appropriate or avoidable were excluded from the dataset (14 cases). This left complete data for 3044 patients, surpassing the sample size required to ensure adequate power by a factor of more than eight.

A cross-tabulation of departments and the avoidable/appropriate variable was undertaken to show the proportion of AAs at each department using the criteria in box 1. The results are presented in table 1 and figure 1 below:

Table 1 and figure 1 demonstrate that using these defining criteria, the data in the SSS estimated the mean proportion of AAs to be 19.4% (95% CI 18.0% to 20.8%) of total ED attendances. Sheffield Northern General Hospital reported the lowest proportion of AAs at 10.7%, while Birmingham Children’s Hospital reported the highest at 44.3%, considerably greater than other departments. The former result is from an adult only ED, while the latter is from a paediatric ED, strongly suggesting that these values are likely to be case mix related.

Analysis of results by age
The ages of patients were recorded in the SSS, allowing attendances to be analysed using this variable. Ages were divided into: aged ≤15 years, aged 16–64 years and aged ≥65 years to broadly represent children, adults and older patients. Figure 2 shows the proportion of total attendances from each age group.

Figure 2 shows that adults aged 16–64 years constituted the majority of attendances (57.1%, 1738 patients on the day of the survey) as expected, due to this being the widest age range. The mean age of all patients attending the ED on this day was 38.6 years (95% CI 37.6 to 39.5), while the mean age of patients attending avoidably was 24.6 (95% CI 22.9 to 26.2), a difference shown to be statistically significant (p≤0.001 on Mann-Whitney U test).

Patients under 16 years and over 65 years constitute similar proportions of attendances: 22.6% (690 patients) and 20.3% (616 patients), respectively. Although adults aged 16–64 years form the majority of ED attendances in this study, a clear inverse association can be seen between age and proportion of AAs. A percentage of 34.9 (95% CI 31.3% to 38.5%) of patients under 16 years were considered to be avoiding avoidably, compared with 18.4% (95% CI 16.6% to 20.2%) of adults aged 16–65% and 5.0% (95% CI 3.3% to 6.8%) of patients over 65 years.

DISCUSSION
Our study, and method of defining avoidability, has shown that the mean proportion of AAs on the day of the survey was 19.4% (95% CI 18.0% to 20.8%) of total attendances across the 12 EDs included in the SSS. In their 2009 systematic review, Carret et al report the prevalence of AAs to range from 10% to 90% (possibly due to a wide range of methodologies and definitions of ‘avoidability’), therefore our figure can be viewed as being relatively low (though it is worth noting nearly half the studies included in the systematic review reported more conservative prevalences ranging from 24% to 40%). Overall proportions of AAs reported by EDs ranged from 10.7% to 44.3% (as shown in table 1).

The initial (2013) report of the Urgent and Emergency Care Review stated that 40% of patients are discharged from the ED requiring no treatment and concluded that patient care should be ‘shifted’ away from the hospital and into the community.14 However, it is very important to recognise that receiving no
treatment is not the same as not requiring the services of an ED. Whereas the Review claimed that 'untreated' patients could be seen closer to home, this current study took other factors into account, such as the need for investigations and onward urgent referral, as valid reasons for a patient to attend the ED. Using the SSS data, this method produced the much lower figure of 19.4% as the average proportion of AAs. The statistic of 40% of patients not requiring treatment in the ED is not present in the final, revised version of the Urgent and Emergency Care Review published in 2015.19

The apparent inverse association between age and AAs (highlighted by figure 2) is replicated in other literature9 13 20–23 and is consistent with McHale et al’s6 conclusion that interventions to reduce AAs would be best targeted at parents of young children.
(under 10 years) and young adults. However, another study has shown a larger proportion (38.6%) of patients aged over 65 years to be non-urgent. The authors claim that this figure is only partially comparable with that of other studies, due to variable applications of triage coding systems and different definitions and methodologies for calculating avoidability.

On the subject of age, it is important to note the differences in care between children and adults. The acute management of children is generally more conservative, with fewer invasive tests and less exposure to potential harm (eg, from ionising radiation). In the context of this study, more conservative management could give the impression of a greater proportion of AAs, although the attendances may in fact be appropriate. Conversely, it is possible that practising so-called ‘defensive’ medicine in the adult setting (ie, conducting investigations that may not be strictly necessary) could have given a falsely low number of AAs. In addition, it is possible that there is a greater perceived urgency in paediatric cases from parents/carers, which would lead to greater numbers of ED attendances in which no interventions are required (deemed in this study to be avoidable). We did not record perceived urgency of cases; however, this may be a factor a repeated survey in the future could assess.

The 2014 Nuffield Trust report ‘Focus On: A&E Attendances’, states that the proportion of visits to the ED by patients over 65 in 2012/2013 was 21.2%, comparable with the proportion reported in this study. It is known that people aged 65 years and over have a large impact on the urgent care system. The SSS reported that only 6.3% of attendances by patients aged over 65 years were avoidable, meaning that the ED is likely to be the most appropriate source of care for the vast majority of these older patients. If elderly patients are indeed increasing the pressure on the ED; however, this study suggests that the majority of avoidable patients may have limited impact. Shifting patient care from the ED to the community could be beneficial for some patients and may slightly reduce pressure on the ED; however, this study suggests that the majority of patients (especially patients over the age of 65 years) do require the services of the ED. Any interventions attempting to redirect patients felt to be attending avoidably would be best targeted at younger patients or the parents of young children. We note that redirecting a proportion of patients would not mean an equal reduction in ED workload or expenditure. It is likely that the 19.4% of patients with AAs create a much lower proportion of workload for the ED.

Changing the health-seeking behaviours of patients in order to direct them away from the ED is challenging, and interventions have previously been shown to be ineffective. Studies claim that this is partly due to patient perceptions of increased convenience in accessing care as and when needed, the ‘One Stop Shop’ approach of the ED, increased quality of care and a greater ability to deal with urgent problems when compared with some other services that also deliver urgent and emergency care. The RCEM STEP campaign (staffing, tariffs & terms, exit block, primary services), devised in 2014, was developed in order to rectify significant challenges faced by EDs in four main areas, one component of which is the colocation of primary care and other relevant services with major EDs (an approach also supported by other medical royal colleges). Colocation of these services may be a more successful method for supporting the ED while responding to patient demand and modern health-care-seeking behaviours. It is recommended that further research regarding colocated services and the role of GPs within the ED is carried out. Similarly, older people have been shown to require acute medical care, and therefore, there is an urgent need to develop and evaluate methods of caring for older people with acute illness that could bypass the ED, reducing pressures on departments and hospital admissions.

The SSS was designed as a pilot study and as a small-scale preliminary study and was successful. We were able to show that our study was adequately powered with regards to sample size. Involving all type 1 EDs, ideally from around the UK (rather than just England), would give more representative results on proportions of AAs. Conducting the study over a longer time period would also mitigate daily variation in factors such as staffing and attendances. Additionally, this would provide an insight into how the number of attendees and proportion of AAs fluctuates over time. Further possible correlations between AAs and other factors could also be explored in future research, such as presenting complaints, time of attendance or the use of a telephone triage system. However, a longer or more complex survey has the potential to be increasingly labour intensive for the ED staff who complete the survey.

Implications for practice and future research

The results of this study show that it is likely that a lower proportion of patients are attending EDs avoidably than previously thought. If this is indeed the case, then it is likely that AAs are potentially a less significant factor in the overall problem of crowding and exit block in the ED, meaning that the difficult task of redirecting avoidable patients may have limited impact. Shifting patient care from the ED to the community could be beneficial for some patients and may slightly reduce pressure on the ED; however, this study suggests that the majority of patients (especially patients over the age of 65 years) do require the services of the ED. Any interventions attempting to redirect patients felt to be attending avoidably would be best targeted at younger patients or the parents of young children. We note that redirecting a proportion of patients would not mean an equal reduction in ED workload or expenditure. It is likely that the 19.4% of patients with AAs create a much lower proportion of workload for the ED.

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Limitations

As with other literature in this area, the results of this study were heavily dependent on the defining criteria used for avoidability. This highlights the need for a standardised definition/defining criteria for AAs in order to increase comparability of research in this area. Until a standardised definition of avoidability is recognised, results and conclusions regarding the impact of AAs must be interpreted and acted on with caution. The criteria selected in our study were believed to be sufficiently inclusive as to ensure the formula had maximum sensitivity for excluding those who did not fulfil the criteria without compromising specificity for those who would require the care, expertise and resources of the ED.

The retrospective nature of data entry led to the possibility of bias in responding to some questions. As a result Q11 and Q12 (shown in box 1) were not used in our analysis, due to the potential for recall bias and the subjective nature of these questions. It is worth noting that some departments chose not to answer Q12 due to concerns about its validity, meaning that alongside our concerns regarding accuracy, a full data set was not available. Had this question been answered prospectively by the triage nurse or a clinician at the point of attendance, it could have been far more reliable and would have given more insight into whether attendees could be redirected at the point of triage.

Our conclusions regarding age were based on dividing patients into three broad categories representing children, adults and older patients. Our results have shown that age is likely to be a significant factor in studying AAs, and therefore we intend to divide ages into tighter bands in a follow-up, larger scale study to explore this association in more depth.

The sites used for this study were all located in England and selected on a voluntary, rather than random, basis. In the interests of time, not all type 1 departments in England could be

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invited to participate. While an increased risk of bias exists with a non-random selection, we aimed to minimise any chance of bias in responses from departments by removing subjective questions from our defining criteria. Despite the non-random selection, we believe the departments included have a sufficient range in terms of size, location and case mix to validate the results of this study. Our study aimed to capture a ‘standard day’ and was chosen to be midweek, at a time of year when extremes of weather would not be expected; however, daily variation in attendances is inevitable, and we recommend future studies are conducted over a longer time period to mitigate this variation.

CONCLUSION

Using our methodology for defining avoidability, we found that 19.4% of patients could be considered to be attending the ED avoidably. In the light of this figure, avoidable patients may not be contributing as significantly as was previously thought to the issue of crowding. Interventions aimed at reducing AAs would be best targeted at young patients and the parents of young children. A standardised definition of avoidability is required to further research and understanding in this area.

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Contributors

Study planned by TM and SMM using data collected by the RCEM. Main data analysis conducted and submitted by TM. Consultation regarding data analysis and methodology of study with CK and CM throughout project. TM and SMM were responsible for overall content.

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Competing interests

None declared.

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REFERENCES